

Thematic Report (2.0)

Based on the results of the

- HerO meeting, 19.-20.03.2009, Graz
- HerO kick-off meeting, 04.-05.12.2008, Poitiers

Cultural Heritage Integrated Management Plans



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Table of Contents

1 Introduction 2

2 Cultural heritage integrated management plans: Introduction 3

2.1 Background..... 3

2.2 Working Definition..... 4

2.3 Objective 4

2.4 Requirements..... 4

 2.4.1 *Integrated approach* 4

 2.4.2 *Management System* 5

3 Cultural heritage integrated management plans: Subject 6

3.1 Content 6

3.2 Field of Actions and Topics..... 8

3.3 Structures and Procedures 12

4 Cultural heritage Integrated Management Plans: Preparation, elaboration, implementation, review 13

4.1 Preparation 13

4.2 Elaboration – Adaptation..... 14

5 Annex 17

5.1 Basic terms – Glossary 17

 5.1.1 *Cultural Heritage* 17

 5.1.2 *Historic Urban Area*..... 17

 5.1.3 *Historic Urban Landscape*..... 17

 5.1.4 *Cultural heritage integrated management plan*..... 18

 5.1.5 *Integrated Approach*..... 18

 5.1.6 *Management System* 19

 5.1.7 *Management*..... 21

5.2 Further readings..... 22

1 Introduction

At the kick-off meeting in Poitiers, France, 04.-05.12.2008, the HerO partners met to elaborate a common understanding of “Cultural Heritage Integrated Management Plan” (CHIMP) and to discuss its objectives, requirements, structure and field of actions of ‘Cultural Heritage Integrated Management Plans’ (CHIMP).

The **2nd meeting in Graz, Austria, 19.-20.03.2009** continued the discussion about the development and elaboration of CHIMPs. The focus was laid on operational and organisational structures and procedures for safeguarding the cultural heritage values and further developing historic urban areas, managing different demands of and towards historic urban areas.

More than 30 participants took part at the meeting, listening to presentations about Management Plans in Graz, Liverpool and Regensburg and discussing in working sessions their experience and needs about management structures and procedures to successfully safeguard and further develop historic urban areas.

This ‘Thematic Report’ (2.0) documents the presentations given about “Cultural heritage integrated management plans” and the results of the working session on this topic during the meeting. It updates the 1st thematic report (1.0), containing the **new components**

- Structures and Procedures, p.12
- Preparation and elaboration of a CHIMP, p.13-15

All presentations, held during the two meetings in Poitiers’ and Graz can also be downloaded from the HerO website: www.urbact.eu/hero

In the name of the Lead Partner, we would like to thank sincerely Mr. Gerhard Ablasser and his organising team of the municipality of Graz for hosting this 2nd meeting and organising it so well. Graz had been a very fine example for a vital and well preserved historic town and we are sure the participants have taken home some stimuli and ideas for the further development of their historic urban areas.

We thank you very much for your participation and look forward to our next meeting in Liverpool, 16.-17.07.2009, to continue our discussion and exchange about CHIMP.



2 Cultural heritage integrated management plans: Introduction

2.1 Background

Historic urban areas face – besides the “ordinary” challenges of cities – the peculiar **challenge** to find the appropriate balance between the needs of the (tangible) cultural heritage and needs of today’s and future “users” of historic urban areas. There is a conflict of the proper safeguarding of the cultural heritage as witness and symbol of our history, as part of our identity and the nowadays and future needs of a future-oriented urban development of our historic urban areas (responsible handling of what we have inherited and responsible handling of what we will inherit). An unbalanced handling of this “conflict” can lead to a loss of cultural heritage values and identity or economic stagnancy and non-competitive historic urban areas with low amenity values.

The challenge is to ‘**Safeguarding the Past – Facilitating the Future**’.

Dealing with historic urban areas implies particularly to deal with **problems** of *(subtotal)*

- Insufficient maintenance and decay of cultural heritage and historic urban landscape *(because of lack of investments)*;
- Improper rehabilitation of the cultural heritage *(because property owners are not aware about the heritage value, lack of knowledge about proper rehabilitation, lack of funding)*;
- Inappropriate new developments not respecting the cultural heritage landscape;
- Migration and vacancies due to reduced (socio-economic) attractiveness *(lack of commercial activities and economic opportunities, out-dated infrastructure)*;
- Demolition of tangible cultural heritage and parts of the historic urban landscape due to economic pressure *(lack of suitable structure and lack of extension possibilities for businesses and economic activities)*;
- Invasion of mass tourism, crowding out inhabitants (functions) *(mono-structured historic urban area)*;
- Traffic congestion and pollution of historic urban area *(due to small scale structures)*;
- Inhabitant needs for more recreational and green space, parking in front of the door, etc. *(because of high density)*.

But the safeguarding of the **cultural heritage** is not only a “problem” to deal with; the cultural heritage is also an **asset** (hard and soft location factor) which can support a sustainable urban development, **encouraging investments and growth**. This can not be achieved by traditional, uncoordinated mono-sectoral policies.

It **demand**s an **integrated approach and management system** to be capable to deal and manage successfully with the different demands of and towards the historic urban area. Such an approach has to link the safeguarding of the cultural heritage with the socio-economic and environmental development of the historic urban area to develop and secure dynamic, attractive and competitive economic, social and cultural centers.

An **appropriate instrument** for it can be “**Cultural heritage integrated management plans**”, which will be developed in the HerO partner cities during the lifetime of the network.

2.2 Working Definition

A “Cultural heritage integrated management plan” (CHIMP) is an innovative instrument to effectively manage the sustainable safeguarding and development of historic urban areas and their cultural heritage to attractive, competitive and multifunctional places. It balances and coordinates the cultural heritage needs with the needs of the (manifold) “users” of the historic urban area and the responsible governmental bodies (demands of and towards the historic urban area and its cultural heritage).

Thus, a Cultural heritage integrated management plan determines and establishes the appropriate strategy, objectives, actions and management structures to safeguard the cultural heritage, to balance the different needs and to use historic urban areas and its cultural heritage as development asset.

2.3 Objective

A Cultural heritage integrated management plan aims

- to safeguard the cultural heritage values of a place;
- to develop and ensure attractive, competitive and multifunctional historic urban areas;
- to balance and manage the demands and needs of the tangible cultural heritage (safeguarding it) and of the “users” of historic urban areas (future-oriented development), using the integrated and management approach.
 - ▶ Considering all dimensions of urban life;
 - ▶ Involving and coordinating all relevant public and private stakeholders;
 - ▶ Coordinating sectoral policies, concepts, plans, actions, etc. which are directed or affect historic urban areas and their cultural heritage;
- manage and balance conflicting uses/ functions and the different demands of “users” of an historic urban area: local economy, citizens, tourists, property owners, conservators, etc.;

2.4 Requirements

A Cultural heritage integrated management plan (CHIMP) requires two methodological approaches, which have to be developed and implemented within such a plan:

- [Integrated Approach](#)
- [Management System](#)

From these two methodological approaches derive certain requirements towards a CHIMP (*for further explanations on the “Integrated Approach” and “Management Systems”, see the glossary, p. 17ff*).

2.4.1 **Integrated approach**

The Integrated approach within the CHIMP serves in the first place to link, balance and coordinate the needs of the tangible cultural heritage with the with the socio-economic and ecologic needs of the (manifold) “users” of the historic urban area. Further the integrated approach can be used as a vehicle to take the cultural heritage as cross-sectional task for the sustainable development of the area (cultural heritage led urban development).

Based on the two main characteristics of an integrated approach, following requirements derive for a CHIMP:

1. Comprehensive, cross-thematic and cross sectoral

- ▶ The CHIMP has to consider, balance and coordinate all dimensions of urban life of the historic urban area: as place to live, to work, to relax, to shop, to invest and to safeguard the cultural heritage values for today and future generations;
- ▶ In the elaboration and implementation of the CHIMP all relevant municipal departments and agencies have to be involved in an adequate manner;
- ▶ The CHIMP will be used and functions to coordinate the sectoral policies, concepts, plans, actions, etc. which are directed or affect the historic urban area and its cultural heritage – the CHIMP is no sectoral plan!

2. Communicative and participative

- ▶ The relevant private actors have to be identified and activated to take part in the elaboration and implementation of the CHIMP;
- ▶ Adequate participation structures have to be developed;
- ▶ Private actions have to be integrated in the CHIMP and coordinated with the public actions.

2.4.2 Management System

Having coordinated and balanced the different needs and having developed appropriate actions, it has to be secured that these are implemented and agreements are kept. Further the continuous work and examination with the historic urban area and its cultural heritage has to be secured to secure what has been achieved and to continuously improve it. This demands an appropriate management system to effectively manage and deal with the different demands of and towards the historic urban area. Based on the three main characteristics of an integrated approach, following requirements derive for a CHIMP:

1. Process Approach

- ▶ The CHIMP has to elaborate the needed processes, its activities and its interactions to be able to take into account, balance, coordinate and satisfy the different demands of and towards the historic urban area. The demands, the available inputs and the necessary outputs (to be able to satisfy the demands) have to be known and the processes have to be structured accordingly to be able to transform the inputs to the desired outputs.

2. Management and Control Approach

- ▶ The CHIMP has to define and describe the needed actions and (management) structures to be able to implement the Plan-Do-Check-Act cycle (*s. p. 19 for further information*).

3. Continual Improvement Approach

- ▶ The CHIMP has continuously to be update and adjusted (so far necessary).

The compliance and implementation of these requirements will secure to effectively balance and coordinate the different demands and interests on historic urban areas to support a sustainable, urban development, which combines the coordinated safeguarding of the historic urban landscape with the socio-economic and environmental development to maintain and to strengthen the attractiveness and competitiveness of historic urban areas.

3 Cultural heritage integrated management plans: Subject

3.1 Content

For further information about the single headings see also "Ringbeck, B.: Management Plans for World Heritage Sites – A Practical Guide, 2008".

The requirements of the integrated approach and the management system as well as the objective of the Cultural heritage integrated management plan demand to deal with certain issues in a CHIMP. The structure and content of a CHIMP could look as follows:

I. Introduction

Describe the objective and the purpose of the cultural heritage integrated management plan.

II. Identifying issues

Describe the status-quo of the historic urban area and the cultural heritage.

II.1 Understanding the historic urban area

- Description of the area (kind of cultural heritage, state of preservation, etc.)
- Statement of significance and identification of individual values/ authenticity/ integrity (*demands of the cultural heritage; which aspects have to be safeguarded*)
- Dangers and threats (possible or real) to the area
- Instruments of safeguarding (international conventions and charters, national law/ legislations, local regulations, etc.)
- Existing policies, strategies, concepts, plans, actions, etc. which are of relevance for the area and its cultural heritage

II.2 Stakeholders, Structures, Procedures

- List of relevant stakeholders for the safeguarding and further development of the cultural heritage and historic urban area (*demands of the stakeholders towards the cultural heritage*)
- Organisational and operational structures and procedures for safeguarding and further development of the cultural heritage and historic urban area (involvement of relevant public and private stakeholders in the sustainable management of the historic urban area)

III. Appraisal

Describe the vision, thematic objectives, approaches, actions, etc. for the historic urban area and the cultural heritage (*to satisfy their demands and the demands of the stakeholders as 'users'*).

III.1 Overall vision and general objectives for the area

- Short, mid and long term vision
- Short, mid and long term objectives
- Strategy on how to reach the objectives

III.2 Field of actions, objectives, strategies (*integrated approach*)

- Identify the relevant field of actions and related topics and issues to deal with (*s. p.8*)
- State for each field of action
 - Overall objective (*s. p.8*)
 - Topics and issues to deal within the field of action (*s. p.9*)
 - Goals of each topic to support overall objective
 - Actions, tools, policies, instruments (outputs) to accomplish the goals of the topic (existing and to be developed ones) (=strategy)
 - Stakeholders to involve

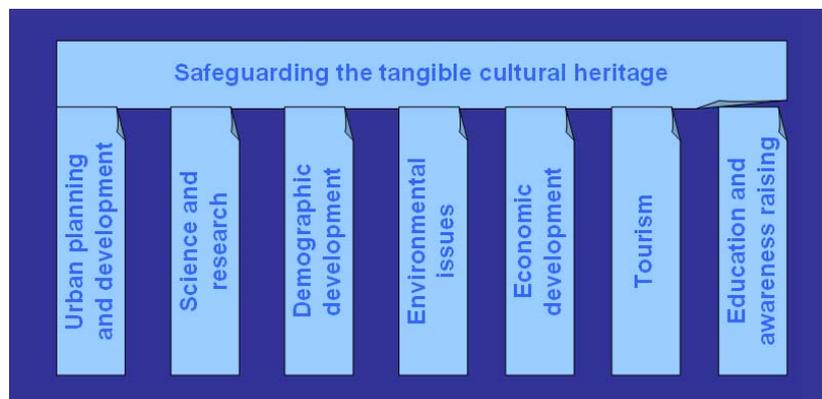
- III.3 Organisational and Operational structures and procedures (*Management structure, including continuous monitoring and review*)
- Objectives and strategy
 - Development/ adaptation of organisational and operational [structures and procedures](#) (*if needed; p. 12*)

IV. Implementation and Monitoring

- IV.1 Action Plan/ Implementation Plan (*recapitulatory presentation of actions/ instruments/ structures, etc. to be implemented; how is doing what, inputs needed, outputs intended, etc.*)
- IV.2 Continuous monitoring and review (*description who is monitoring/ evaluating what and how will be dealt with the results – acting*)

3.2 Field of Actions and Topics

8 main field of actions have been identified, which should be dealt with within the development of a CHIMP to secure the comprehensive approach of the CHIMP (*requirement of the integrated approach*):



This list of field of actions is not a closing one! According to your local situation further field of actions can be added and weight differently.

As indicated in the "Content" of the CHIMP (*III.2 Themes and Topics*, p.6), for each field of action an **overall objective** with regards to the cultural heritage and the historic urban area has to be defined (to which the CHIMP has to contribute to).

Examples for overall objectives for each field of action are:

Field of action	Objective
Preservation of the cultural heritage	Preserving the tangible and intangible cultural heritage values of a place.
Environmental issues	Adaptation of the tangible cultural heritage to environmental requirements and minimizing the negative impacts.
Economic development	Attracting & retaining a mix of economic uses that meets the needs of the local community and visitors (shops, jobs, housing, culture etc.) and respects the character of the historic centre.
Tourism	Sharing the unique character and identity of the historic urban landscape that brings economic & social benefits – balancing the needs of inhabitants & visitors.
Urban planning and development	Clear planning guidance to secure multifunctional areas and to balance/ coordinate the demands of the users.
Demographic development	Place for all generations and social groups.
Education and awareness raising	Fostering a strong identity of the citizens with their cultural heritage and mobilising the citizens to play an active role in the heritage management.
Science and research	Fostering scientific results for heritage planning and urban development and encouraging research topics.

To be able to accomplish the overall objectives with manifold **topics** has to be dealt with within each field of action. Thereby it will occur that topics “appear” within more than one field of action, whereby “just” the “perspective” of the topic changes. I.e. “Recreational and green areas” can be part of the field of action “Environmental issues” and “Urban planning”. This illustrates the need of an integrated approach and that the field of actions are linked with each other! That has to be considered, when developing the CHIMP with the corresponding action plan.

Examples for topics for each field of action are:

Field of action	Topics and Issues
Preservation of the cultural heritage	<ul style="list-style-type: none"> • Preserving the visual integrity of a place • Identify, evaluate, assess and document the historical (cultural heritage) values of buildings/ the ensemble/ the place • Conserve, restore and maintain the authentic built cultural heritage and preserve traditional uses and functions of buildings • Preserve the intangible values (link to: communication and interpretation) • Regulating new developments in the old town area and careful adaptation of buildings to new uses • Finding new uses for derelict sites/ buildings • Traffic control (traffic might affect historic buildings in terms of air pollution, vibrations, etc.) • Accessibility of historic buildings for handicapped people • Funding programs and regulations for privately owned buildings • Security of buildings • Innovative renovation techniques • Updating outdated infrastructure (e.g. of old buildings) • Public space improvement
Environmental issues	<ul style="list-style-type: none"> • Preventive and reactive measures for natural hazards and climate change • Adaptation of cultural heritage to environmental needs (e.g. energy efficiency, use of renewable energies) • Improving recreational and green areas in the old town • Special climatic conditions, e.g. winter • Weathering of historic urban fabric • Drainage (sealed surfaces) • Microclimate
Economic development	<ul style="list-style-type: none"> • Vitality & Viability (mix of economic activities; mix of shops) • Accessibility • Overall local needs • Commercial signs in public space • Balancing tourism offers with inhabitants’ needs

Tourism	<ul style="list-style-type: none"> • Identity • Destination/ tourism offers • Attracting tourism/ visitor needs • Dealing with impact of tourism (e.g. through mass tourism; balancing needs of visitors and inhabitants) • Sustainable visitor management
Urban planning and development	<ul style="list-style-type: none"> • Development opportunities and integration of new development projects/ architecture • Mixture of functions: housing, recreation, working, commerce/shopping/daily goods, etc. • Public space • Mobility/ accessibility • Technical (streets, cables, lighting, etc.) and social infrastructure • Linking historic centre to surrounding urban areas • Protecting heritage assets • Guidance for proper maintenance of private buildings • Balanced community
Demographic development	<ul style="list-style-type: none"> • Employment in historic centres/ for inhabitants • Functions for all generations • Social facilities (health, education, culture, recreation, etc.) • Provision of daily goods and saving convenience shops • Housing for all social groups and generations; accessibility of houses • Mobility/ Accessibility in historic urban area for all generations/ social groups • Demographic change; over-/depopulation of historic urban areas • Gentrification/ segregation • Cultural identity of community • Balanced community
Education and awareness raising	<ul style="list-style-type: none"> • Heritage interpretation • Internal and external communication & marketing • Involvement of citizens and stakeholders • Activities with children (heritage discovering) • Communicating the heritage values to tourism and inhabitants • Cultural events
Science and research	<ul style="list-style-type: none"> • External Expertise (e.g. restoration requirements) • Technical innovation • Fundamental research • Risk assessment • Monitoring procedures • Archaeological findings

Which topics have to be dealt with within each field of action depends heavily on your local situation (challenges, problems, opportunities, etc.). Accordingly the list of field of actions and topics, relevant for your CHIMP, needs to be adjusted to your local circumstances.

3.3 Structures and Procedures

To reach the objectives of the CHIMP not only actions are needed, but also structures and procedures to secure that in the daily work routine these objectives are considered and brought forward.

Organisational structure

An organisational structure determines the structure in which the organisation (i.e. the municipal departments) operates and it clearly allocates responsibilities and tasks to meet

- the [objectives of the CHIMP](#) (s. p.4) and
- the successful application of the [management system](#) (s. p.19) and the [integrated approach](#) (s. p.18). See also the [requirements for a CHIMP](#) (p.4).

Most common the formal structures are recorded with an organisational chart (**organigram**) giving explanations on tasks, competences and responsibilities. Thus “everyone” knows who/ which department has which function and is for what responsible (what he or she has to do) to contribute to the objectives of the CHIMP and the application of the management system and the integrated approach. This facilitates the working relationship between the various involved departments and private stakeholders and supports the decision making and other relevant processes.

I.e. a CHIMP requires a structure in which it is determined

- the responsible body/ person for safeguarding the cultural heritage values;
- the responsible body/ person for the implementation, application/ compliance and revision of the CHIMP;
- the responsible body/ person for each [overall objective](#) (s. p.8) in the CHIMP (*can be more than one!*);
- cross-sectoral administrative structures to apply the integrated approach (i.e. to secure that new policies and actions are in accordance with the CHIMP).

For general information about organisational structures visit: <http://www.learnmanagement2.com/organisational%20structures.htm>.

Operational procedures

Operational procedures determine and clearly describe relevant processes to meet the objectives of the CHIMP and the application of the management system and the integrated approach. They describe for certain situations the sequence of ‘actions’ (tasks, activities, steps, etc.) which have to be executed, and by whom in order to come to a decision and to obtain a positive result. It described also links and interactions to other relevant processes.

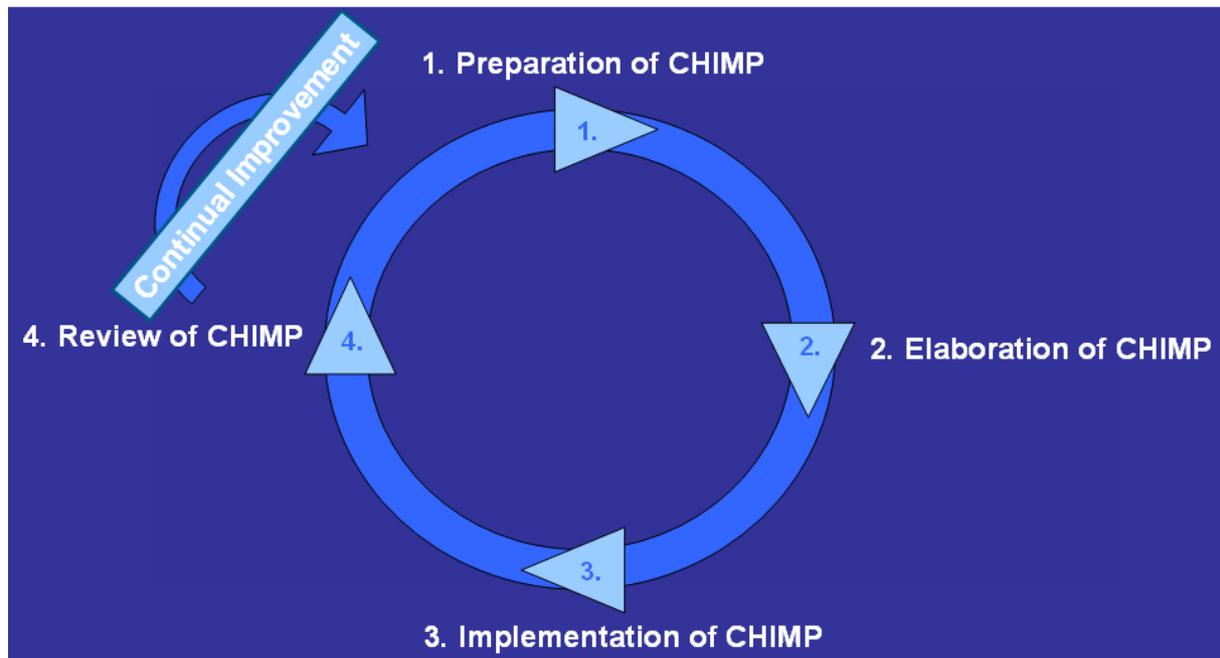
Through the description of relevant procedures each organisation/ person knows what he or she is supposed to be doing in certain situations and cases to ease and support the decisions making process. This improves the efficiency and success of an organisation and its operations.

I.e. what has to be done, by whom and in which sequence of actions to come to a decision (which meets the objectives stated in the CHIMP) when a property owner or investor wants to modify a building or construct something new in the historic urban area.

Further procedures should be described for coordination of relevant sectoral policies and actions, conflict settlement, for awareness raising, for monitoring, evaluating and revising the CHIMP and its action plan.

4 Cultural heritage Integrated Management Plans: Preparation, elaboration, implementation, review

There are 4 main steps in the “life cycle” of a CHIMP based on the [management approach](#) (s. p.19), which are repeated in a continual improvement process.



4.1 Preparation

There are 4 main steps in preparation of the elaboration or adaptation of a CHIMP.

1. Analysis of the current situation

Before the CHIMP will be elaborated a thorough analysis of the current situation should be done to capture and assess the needs of improvement and the demands to be satisfied for safeguarding the cultural heritage and further developing the historic urban area. Following aspects should be analysed:

- Kind of cultural heritage in the historic urban area and the state of preservation, etc. (*description of the area*);
- Significance and value of the historic urban area and its tangible cultural heritage (*demands of the cultural heritage to be satisfied: what has to be safeguarded*);
- Demands of the ‘users’ towards the historic urban area and the cultural heritage (*demands of the ‘users’ to be balanced and coordinated with the demands of the cultural heritage*);
- Dangers and threats (possible or real) to the historic urban area and the tangible cultural heritage (*SWOT-analysis: strength, weakness, opportunities and threats to deduct from these needed actions*);
- Existing instruments for safeguarding the heritage values (*international conventions and charters, national law/ legislations, local regulations, etc.; check if instruments are sufficient or have to be adapted or new ones have to be developed*);
- Existing policies, strategies, concepts, plans, actions, etc. (from the sectoral departments, but also of private organisations), which are of relevance for (affect) the historic urban area and the cultural heritage (*check for conflicts and coordination and adaptation needs, etc.*);

- Organisational and operational structure and procedures for safeguarding and further development of the cultural heritage and historic urban area (*check if structure and procedures are sufficient or have to be adapted or new ones have to be developed*);

The results should be summarised in a baseline paper, documenting the current situation of the historic urban area and its tangible cultural heritage.

2. Develop objective, content and structure of CHIMP

Based on the thorough analysis of the current situation the objectives of producing the CHIMP (*what do you want to achieve with the CHIMP*) and the issues to be dealt with in the CHIMP (*with which field of actions, structures and procedures do you want to deal with*) can be defined, drafting the content and structure of the CHIMP (*s. p.6*). Think also about the “role” the CHIMP should take in the framework of the urban policies and planning documents (i.e. binding or non-binding document).

3. Define work plan for the elaboration of the CHIMP

Work out the work plan for the elaboration/ adaptation of the CHIMP: Tasks/ steps, responsibilities, time schedule (*what has to be done, which steps have to be executed in which sequence to elaborate the CHIMP*).

4.2 Elaboration – Adaptation

From Vision to Action

Knowing the current situation and the improvement needs, the requirements, which result from them, have to be “translated” into “actions”

- to accomplish the objectives of the CHIMP,
- the satisfaction of the demands as well as
- the successful application of the integrated and management approach.

The following “**chain of deduction**” is a helpful methodology to decide which “actions” are needed, should have a high priority and your limited resources should be channelled to to safeguard the cultural heritage values and further develop the historic urban area.

1. Define the overall vision and general objectives for the historic urban area

Define the vision for the historic urban area to which the relevant stakeholders should want to contribute to. The vision reflects your aspirations and specifies its intended direction or future destination.

From this vision deduct the general objectives for the historic urban area and the cultural heritage (for safeguarding and further development to satisfy the demands of and towards the historic urban area). One way of thinking about the general objectives is to vision the changed situation that you wish to see in a certain future for that area and the cultural heritage.

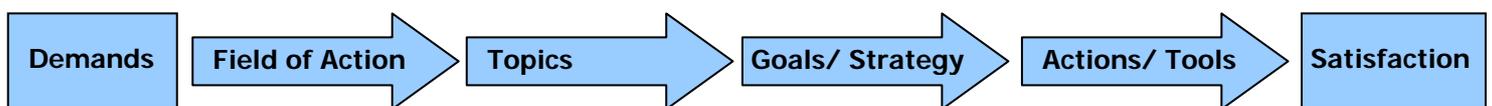
2. Define the field of actions, its objectives and strategies (integrated approach)

a) Identify and define, based on the analysis of the current situation, the main field of actions (*s. p.8*) to deal with in support of the general objectives.

b) Define for each field of action



- The [overall objective](#) (s. p.8) with regard to the cultural heritage. The overall objective should vision for the field of action the changed situation that you would wish to see in a certain future (*what you want to achieve*).
- The [topics and issues](#) (s. p.9) to be dealt with within the field of action to be able to achieve the overall objective (*in which "areas" has what to be done to achieve the intended objective*).
- The concrete goals of each topic in support of the overall objective of the field of action (*think about what you want to achieve directly with the help of your actions*). This step will put you in the position to deduct concrete needed actions for each topic.
- The actions, tools, policies, instruments, procedures, etc. (outputs) to accomplish the goals of the topic = strategy (*ask yourself what actions/ outputs are required to achieve the goal, which outputs have to be delivered/ produced*).
- The stakeholders to involve in that process to be able to successfully implement them.



Organisational and operational structures and procedures

Besides planning and deducting the vision, objectives and actions etc, structure and procedures have to be developed and applied to secure that in the daily work routine the objectives of the CHIMP are considered and brought forward.

1. Define needed organisational and operational structures and procedures

Identify and define, based on the analysis of the current situation, the needed [organisational and operational structures and procedures](#) (s. p.12) to be able

- to achieve the defined objectives and goals in the CHIMP and
- to successfully implement and apply the [management system](#) (s. p.19) and the [integrated approach](#) (s. p.18). See also the [requirements for a CHIMP](#) (p.4).

2. Set the goals for the structure and procedures

Having identified needed structure and procedures, set the goals for each one, so that each organisation/ person knows for which purpose serves the structure and procedures.

3. Develop needed structure and procedures

Having set the goals, develop or adapt the necessary structure and procedures.

On what to pay attention to

When you elaborate the CHIMP, pay attention to following aspects which are of high relevance for a successful and useful CHIMP:

- Define the field of actions, objectives, topics, goals, actions and structures and procedures based on the analysis of the current situation.
- From the vision, via the objectives to the goals of topics, they should get more concrete and measurable (the lower level specifies the upper level; important for an effective monitoring and evaluation of the CHIMP).
- The vision, the objectives, the field of actions, the goals of topics, actions should be consistent, deducible of each other (support each other) and achievable over a defined time period.
- Coordinate and link objectives, goals, actions and structures and procedures to identify possible conflicts/ contradictions already in the planning phase and to make visible synergies and win-win situations (communication them to the involved stakeholders/ working groups).
- Actions that do not contribute to the defined goals and objectives should be excluded.

- Assure identification and support of vision, objectives, goals, actions and structures and procedures by relevant stakeholders (in particular of the LSG and politicians) and that they want to contribute to these.
- Make the vision, objectives, goals, actions and structures and procedures well known to the public (PR) and the stakeholders not directly involved in the elaboration process of the CHIMP. Also inform about the process of elaborating the CHIMP to raise the awareness about the issue, the identification with the results and gain their support – to avoid confrontation during the implementation phase.
- In general, give stakeholders the opportunity to give feedback on objectives, goals, actions and structures and procedures. Take the feedback seriously – think about it.

5 Annex

5.1 Basic terms – Glossary

Please let me know which further terms you would like to have an explanation for!

5.1.1 Cultural Heritage

The term “Cultural heritage”, as applied in the HerO network, refers primarily to the physical or **“tangible cultural heritage”** which includes monuments, groups of buildings and historic sites that are considered worthy of safeguarding for the future.

The Article 1 of the World Heritage Convention defines “cultural heritage” as follows:

- **Monuments:** architectural works, works of monumental sculpture and painting, elements or structures of an archaeological nature, inscriptions, cave dwellings and combinations of features, which are of outstanding universal value from the point of view of history, art or science.
- **Groups of buildings:** groups of separate or connected buildings which, because of their architecture, their homogeneity or their place in the landscape, are of outstanding universal value from the point of view of history, art or science.
- **Sites:** works of man or the combined works of nature and man, and areas including archaeological sites which are of outstanding universal value from a historical, aesthetic, ethnological or anthropological point of view.

A broader definition of “cultural heritage” includes as well **intangible cultural heritage**, often maintained by social customs during a specific period in history. These include social values and traditions, representations, customs and practices, aesthetic and spiritual beliefs, (artistic) expression, language, knowledge, skills and other aspects of human activity that communities, groups and, in some cases, individuals recognize as part of their cultural heritage.

5.1.2 Historic Urban Area

The term “Historic Urban Area” refers to consider such areas not only as an accumulation of physical (cultural heritage) aspects, but to consider it as a living organism and vital living space for its inhabitants with all its social, cultural, environmental and economic interactions.

5.1.3 Historic Urban Landscape

Historic Urban Landscapes refer “to ensembles of any group of buildings, structures and open spaces, in their natural and ecological context (...), constituting human settlements in an urban environment over a relevant period of time, the cohesion and value of which are recognized from the archaeological, architectural, prehistoric, historic, scientific, aesthetic, socio-cultural or ecological point of view. (...) The historic urban landscape is embedded with current and past social expressions and developments that are place-based. It is composed of character-defining elements that include land uses and patterns, spatial organization, visual relationships, topography and soils, vegetation, and all elements of the technical infrastructure, including small scale objects and details of construction (curbs, paving, drain gutters, lights, etc.)” (*Vienna Memorandum on “World Heritage and Contemporary Architecture – Managing the Historic Urban Landscape”*).

5.1.4 **Cultural heritage integrated management plan**

A Cultural Heritage Integrated Management Plan is an innovative instrument (framework document: document of reference stating basic rules and procedures) to effectively manage the sustainable safeguarding and development of historic urban areas and their cultural heritage to attractive, competitive and multifunctional places. It balances and coordinates the cultural heritage needs with the needs of the (manifold) “users” of the historic urban area and the responsible governmental bodies (demands of and towards the historic urban area and its cultural heritage).

Thus, a Cultural Heritage Integrated Management Plan determines and establishes the appropriate strategy, objectives, actions and management structures to balance the different needs and to use historic urban areas and its cultural heritage as development asset.

5.1.5 **Integrated Approach**

An integrated approach is characterised by two main aspects:

1. Comprehensive, cross-thematic and cross sectoral approach

- ▶ Taking into account all dimensions/ needs of urban life (**cross-thematic**) in an interdisciplinary way: take the historic urban area as place to live, to work, to relax, to shop and to invest,
- ▶ by intensifying **cooperation** and teamwork between the responsible municipal departments and agencies for these areas/ fields (**cross-sectoral**)
- ▶ to **coordinate** sectoral policies, concepts, plans, actions, etc. (existing as future ones).

2. Communicative and participative approach

- ▶ Activating and **involving the relevant private stakeholders** (in particular the ones needed for implementation) in an early stage of the safeguarding and further development process of the historic urban area and the implementation of actions/ measures. This with the intention to:
 - identify and mobilise the local potentials and private resources (*public resources will not be sufficient*);
 - direct plans and actions to the needs of these stakeholders (*better solutions; happier people*);
 - coordinate the public and private actions, giving them the same direction (*public and private actions support each other*).
- ▶ Developing **common objectives and actions** (*people are more supportive of objectives and actions when they had been part of the elaboration; the common elaboration/ cooperation also bears the chance that the stakeholders gain an understanding of each others needs, thus being more open to find compromises*).
- ▶ **Coordinating public and private actions** and the implementation of them

These are the main two characteristics of an integrated approach. There are many forms and way of implementing such an integrative approach (formal as informal ones). The system of Local Support Group and Local Action Plan – as it is intended by the URBACT program - is one example for the implementation of an integrated approach.

5.1.6 **Management System**

A Management System is the framework of policies, objectives, actions and proceedings applied to ensure that an organization can fulfill its tasks properly to achieve the defined goals (*instrument of implementation*). It is a pro-active approach, not only concentrating on prevention, but inducing and influencing needed development and actions. It not first intervenes when problems come up, it tries to anticipate them to ensure a wanted development. Further it is characterised by continuous actions instead of only singular actions.

There are three main aspects which characterise a Management System:

1. **Process approach**

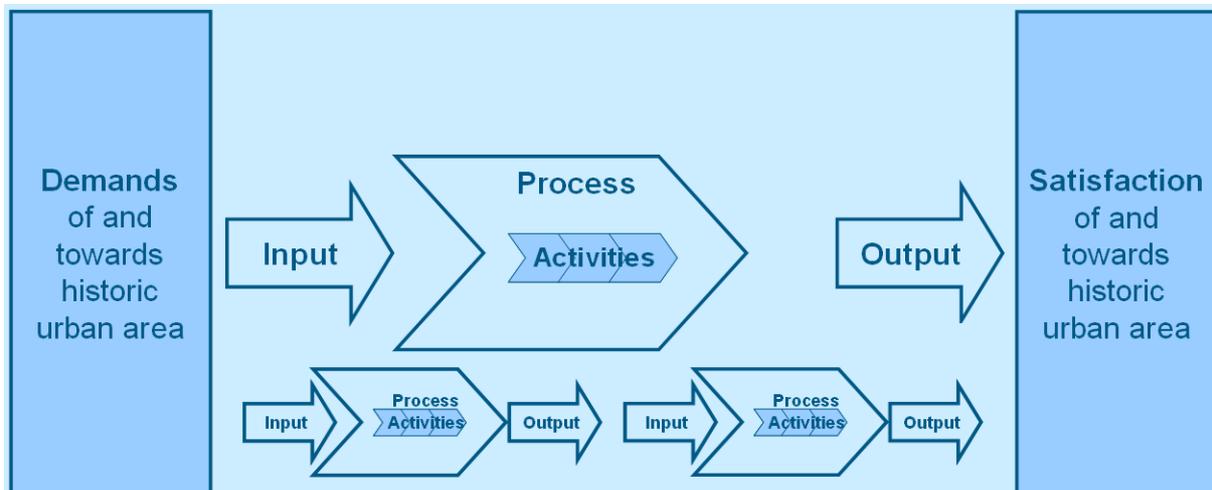
There are certain **demands** of and towards the historic urban area. In general it should be the intention to **satisfy** these demands. A management system manages the **processes** to satisfy these demands.

A process consists of **activities**, which aim to transform **inputs** (e.g. know-how, finances, materials, immission, etc.) to a desired **output**, which will satisfy the needs. Often an output does not directly satisfy the need, but forms the input for another process.

So within a management system the process approach means:

The application and management of a system of processes, together with the identification and interactions of these processes, to transform inputs to outputs, which satisfy the needs and demands.

Process Approach



2. **Management and Control approach**

A Management system directs (management) and controls (monitoring/ evaluation) the implementation of the processes, which means to manage the developed actions/activities as well as the developed organizational and operational structures. This has to be done in a systematic and transparent manner towards the defined objective to continually improve the performance, addressing the needs of all interested parties.

Cycle of this process:

The management and control approach contains four typical steps, which repeat continuously (PDCA-cycle):

1) Plan (*Elaboration of the Integrated Cultural Heritage Management Plan*)

- ▶ Define the **objectives**,
- ▶ Define and prepare necessary actions/ activities/ projects (**action plan**) and resources (inputs needed and available: finance, personnel, instruments, etc.),
- ▶ Set up and regulate responsibilities and competences/ powers (**organizational structure** to support the implementation of the actions/ activities/ projects),
- ▶ Determine how tasks and proceedings are to be implemented/ applied and how they are linked (**operational structure** of procedures and processes),

to be able to satisfy the demands of and towards the historic urban area and transform the inputs into the desired outputs.

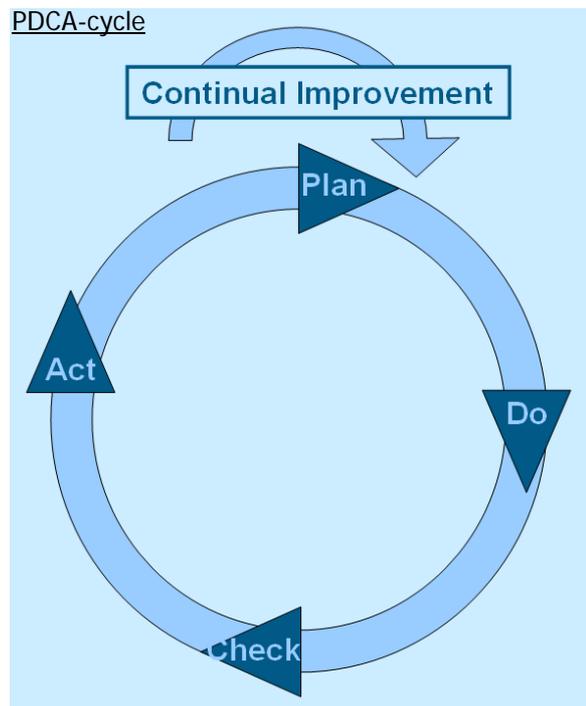
2) Do (*Implementation, application and compliance of the Integrated Cultural Heritage Management Plan*)

- ▶ Implement and manage the actions/ activities/ projects and apply the organizational and operational structures of procedures and processes.

3) Check (*Monitoring and evaluation of the effects of the Integrated Cultural Heritage Management Plan*)

- ▶ Monitor, analyse and evaluate the results of the processes: target-performance comparison (implementation of actions and structures) to determine if the objectives and demands are achieved; if the actions, structures and procedures are the correct ones, if they function well, if they have to be improved, adapted, etc.

PDCA-cycle

**4) Act** (*Adaptation of the Integrated Cultural Heritage Management Plan*)

- ▶ Take actions based on the results of step "Check" to continually improve the process performance, formalizing changes.

3. Continual improvement Approach

The aim of the management system is to continuously increase the performance of the municipality through a process of continuous actions to be capable to satisfy the demands of and towards the historic urban area. So the PDCA-Cycle will be continuously repeated to verify and adapt its declarations and stipulations (*the action plan should be revised each year (monitoring), whereas the whole CHIMP in a 5 years cycle (evaluation)*).

5.1.7 **Management**

Management describes the process of planning, organising, staffing, leading and controlling the efforts of an organisation and its members and of using all organisational resource to achieve stated organisational goals. A manager's job is to maintain control over the way an organisation does things, and at the same time to lead, inspire and direct the people under them.

The basis functions of management, often classified as planning, organizing, leading/motivating, and controlling are:

- **Planning:** Deciding what needs to happen in the future (today, next week, next month, next year, over the next 5 years, etc.) and generating plans for action.
- **Organizing:** (Implementation) making optimum use of the resources required to enable the successful carrying out of plans.
- **Staffing:** Job analyzing, recruitment, and hiring individuals for appropriate jobs.
- **Leading:** Determining what needs to be done in a situation and getting people to do it.
- **Controlling: Monitoring,** checking progress against plans, which may need modification based on feedback.
- **Motivating:** the process of stimulating an individual to take action that will accomplish a desired goal.

5.2 Further readings

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